

Please provide the following information, and submit to the NOAA DM Plan Repository.

Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

1. General Description of Data to be Managed**1.1. Name of the Data, data collection Project, or data-producing Program:**

2014 NOAA Post-Sandy Topobathymetric Lidar: Void DEMs Rhode Island

1.2. Summary description of the data:

These lidar data were collected by the National Oceanic Atmospheric Administration National Geodetic Survey Remote Sensing Division using a Riegl VQ820G system. The data were acquired from 20140717 - 20140809 in twenty two missions. The missions flown on 20140718, 20140719, 20140720, 20140721, 20140722, 20140723, 20140724, 20140725, 20140731, 20140806, and 20140807 represent the Low Water missions and the missions flown on 20140717, 20140718, 20140719, 20140722, 20140723, 20140725, 20140731, 20140801, 20140807, 20140808, and 20140809 represent the High Water (everything outside of MLLW tidal requirements) missions. The data includes topobathy data with points classified by target type (e.g. ground, water, etc). The original project consisted of approximately 100 square miles along the Atlantic Coast of Rhode Island. The full project including buffered area and all flightline coverage is approximately 205 square miles. The final classified lidar data were then used to create topobathymetric DEMs in IMG format with 1m pixel size using ground points. This dataset represents a contiguous area covering 2104 - 500 m x 500 m lidar tiles. This data referenced by the online linkage is the Void DEM data referenced in the processing steps. The void areas have not been interpolated. While Sandy was considered an extra-tropical storm when it struck, the word hurricane is in this sentence for search purposes.

Original contact information:

Contact Org: National Oceanic and Atmospheric Administration (NOAA), National Ocean Service (NOS), National Geodetic Survey (NGS), Remote Sensing Division

Title: Chief, Remote Sensing Division

Phone: 301-713-2663

1.3. Is this a one-time data collection, or an ongoing series of measurements?

One-time data collection

1.4. Actual or planned temporal coverage of the data:

2014-07-17 to 2014-08-09

1.5. Actual or planned geographic coverage of the data:

W: -71.924765, E: -71.055575, N: 41.551073, S: 41.127647

1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)

Map (digital)

1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

1.8. If data are from a NOAA Observing System of Record, indicate name of system:

1.8.1. If data are from another observing system, please specify:

2. Point of Contact for this Data Management Plan (author or maintainer)

2.1. Name:

NOAA Office for Coastal Management (NOAA/OCM)

2.2. Title:

Metadata Contact

2.3. Affiliation or facility:

NOAA Office for Coastal Management (NOAA/OCM)

2.4. E-mail address:

coastal.info@noaa.gov

2.5. Phone number:

(843) 740-1202

3. Responsible Party for Data Management

Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.

3.1. Name:

3.2. Title:

Data Steward

4. Resources

Programs must identify resources within their own budget for managing the data they produce.

4.1. Have resources for management of these data been identified?**4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):****5. Data Lineage and Quality**

NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.

5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible

(describe or provide URL of description):

Process Steps:

- 2015-07-02 00:00:00 - Data for the NOAA Post Sandy Topobathymetric LiDAR Mapping for Shoreline Mapping project was acquired by Quantum Spatial (QS) using a Riegl VQ-820G Topobathy LiDAR system. All delivered LiDAR data is referenced to: Horizontal Datum-NAD83 (2011) epoch: 2010 Projection-UTM Zone 19 Horizontal Units-meters Vertical Datum-NAD83 (2011) epoch: 2010 (ellipsoid heights) Vertical Units-meters This dataset encompasses 2104 500m x 500m tiles in Rhode Island. Green LiDAR data was acquired with the Riegl sensor 9999609 and NIR LiDAR data (for water surface model creation that is used during refraction of the green bathymetric data) was acquired with the Leica ALS 50-II sensor 94. QS reviewed all acquired flight lines to ensure complete coverage and positional accuracy of the laser points. To correct the continuous onboard measurements of the aircraft position recorded throughout the missions, QS concurrently conducted multiple static Global Navigation Satellite System (GNSS) ground surveys (1 Hz recording frequency) over each monument. After the airborne survey, the static GPS data were triangulated with nearby Continuously Operating Reference Stations (CORS) using the Online Positioning User Service (OPUS) for precise positioning. Multiple independent sessions over the same monument were processed to confirm antenna height measurements and to refine position accuracy. QS then resolved kinematic corrections for aircraft position data using kinematic aircraft GPS and static ground GPS data. A smoothed best estimate trajectory (SBET) was developed that blends post-processed aircraft position with attitude data. Sensor head position and attitude are calculated throughout the survey. The SBET data are used extensively for laser point processing. The software Trimble Business Center v.3.10, Blue Marble Geographic Calculator 2013, and PosPac MMS 6.2 SP2 are used for these processes.
- 2015-07-02 00:00:00 - Next, QS used RiProcess 1.6 to calculate laser point positioning of the Riegl VQ-820G data by associating SBET positions to each laser point return time, scan angle, intensity, etc. A raw laser point cloud is created in Riegl data format. Erroneous points are filtered and then automated line-to-line calibrations are performed for system attitude parameters (pitch, roll, heading),

mirror flex (scale) and GPS/IMU drift. Calibrations are calculated on matching surfaces within and between each line and results are applied to all points in a flight line. Every flight line is used for relative accuracy calibration. This same process is performed on the NIR data using IPAS TC 3.1/Inertial Explorer 8.5 to generate the SBET and Leica ALSP 2.75 to apply the SBET to the raw scan range files. Green data and NIR data are calibrated together using TerraScan, TerraModeler, and TerraMatch. Accuracy of the calibrated data is assessed using ground RTK survey data. All data are then exported to LAS 1.2 format and are ready for processing and editing. QS also creates an initial product called Quick Look Coverage Maps. These Quick Look files are not fully processed data or final products. The collected LiDAR data is immediately processed in the field by QS to a level that will allow QA/QC measures to determine if the sensor is functioning properly and assess the coverage of submerged topography. An initial SBET is created in POSPAC MMS and used in RiProcess which applies pre-calibrated angular misalignment corrections of scanner position to extract the raw point cloud into geo-referenced LAS files. These files are inspected for sensor malfunctions and then passed through automated classification routines (TerraScan) to develop an initial topo-bathymetric ground model. The ground models are posted to the Sandy project portal where they are further inspected by NOAA to determine adequate coverage of submerged topography for each flight mission of collected LiDAR data. QS verified complete coverage. Relative accuracy of the green swaths compared to overlapping and adjacent green swaths as well as the relative accuracy of green swaths compared to overlapping and adjacent NIR swaths was verified through the use Delta-Z (DZ) orthos created using QS's DZ Ortho creator.

- 2015-07-02 00:00:00 - QS used E-Cognition to create 2D breaklines representing land/water interfaces. These 2D breaklines were manually reviewed and adjusted where necessary to ensure all well-defined hydrographic features (at 1:1200-scale) were represented with breaklines. Using TerraScan, all green LiDAR data within breaklines are classified as water column and a sub-set of these points meeting specific criteria are classified as green water surface points. Using TerraScan, all NIR LiDAR data within breaklines are classified as water column and a sub-set of these points meeting specific criteria are classified as NIR water surface points. QS used the green water surface points and NIR water surface points to create water surface models. These models are used in the refraction tool to determine the depth of bathymetric points and are created for single swaths to ensure temporal differences and wave or water surface height variations between flight lines do not impact the refraction of the bathymetric data. Using the SBET data and the water surface models, all green LiDAR data classified as water column (data within the breaklines) is refracted using Dewberry's LiDAR Processor (DLP). Light travels at different speeds in air versus water and its direction of travel or angle is changed or refracted when entering the water column. The refraction tool corrects for this difference by adjusting the depth (distance traveled) and horizontal position (change of angle/direction) of the green LiDAR data. Using statistics and limited manual review, the output data is verified to ensure the refraction tool functioned

properly. Once all green data has been refracted by flight lines, all flight lines covering each tile are combined into a single 500 m x 500 m tile. As the various flight lines may include data collected at Mean Lower Low Water (MLLW) and higher water (HW), which includes everything that is outside the range of MLLW, any HW refracted data points landward of the MLLW land/water interface were classified to class 18 to ensure these HW bathymetric points were not used when MLLW exposed ground points exist in those locations. QS used algorithms in TerraScan to create the initial ground/submerged topography surface. QS then performed manual editing to review and improve the final topobathy surface. Locations of temporal differences were resolved using the Temporal Difference Decision Tree approved by NOAA. Polygons marking the locations of large temporal differences are provided as part of the deliverables. All LiDAR data was peer-reviewed. All necessary edits were applied to the dataset. QS's LasMonkey was used to update LAS header information, including all projection and coordinate reference system information. The final LiDAR data are in LAS format 1.2 and point data record format 3. The final classification scheme is as follows: 1-Unclassified 2-Ground 7-Topo Noise 18-Refracted High Water data landward of the MLLW land/water interface 22-Bathy Noise 23-Sensor Noise (as defined by the sensor using Riegl's noise classifier) 24-Refracted Sensor Noise 25-Water Column 26-Bathymetric Bottom or Submerged Topography 27-Water Surface 30-International Hydrographic Organization (IHO) S-57 objects 31-Temporal Bathymetric Bottom QS then produced a final set of DZ orthos using the final ground (2) and submerged topography (26) classes. All data is then verified by an Independent QC department within QSI. The independent QC is performed by separate analysts who do not perform manual classification or editing. The independent QC involves quantitative and qualitative reviews.

- 2015-08-31 00:00:00 - Dewberry received a copy of the final LiDAR data and transformed the ellipsoid heights into orthometric heights referenced to NAVD88 using Geoid 12A. LiDAR data classified as ground (2) and submerged topography (26) were then converted to ESRI multipoint format. These multipoints were then used to generate a terrain and the terrain was converted to a raster in IMG format with 1 meter pixel resolution. The terrain and output raster was created over the entire project area to reduce edge-matching issues and improve seamlessness. The project raster is clipped to the tile grid and named according to project specifications to result in tiled topobathymetric DEMs. All DEM deliverables will include tiled interpolated DEMs where no void layer is used and the DEMs represent a continuous surface. All DEM deliverables will also include tiled DEMs that incorporate the use of a void layer. Interpolated DEM dataset-These DEMs represent a continuous surface with all void areas interpolated. No void layer was incorporated into this DEM and there are no areas of No Data, regardless of whether the LiDAR data fully penetrated to the submerged topography. Void DEM dataset- The void layer was created in Global Mapper where every bathy bottom point was used to create a grid. The distance or threshold that sets how far Global Mapper can interpolate around each bathy bottom point was set as 2. The higher

the interpolation threshold, the more bathy bottom points are connected to create a continuous surface in the Global Mapper grid with fewer areas of NoData. The NoData areas in the Global Mapper grids are exported to polygons. Void polygons greater than 9 square meters are imported into Arc 10.1 Geodatabases where they are incorporated into the terrains as erase features. When the terrains are exported to raster, the void polygons used as an erase in the terrain remain as areas of NoData. A point density layer has been created and provided to NOAA as part of the deliverables. The point density layer is a raster product in IMG format with 1 meter square pixels. The density grid identifies the number of ground and/or bathy bottom points located within each pixel. The pixels in the point density layer align with the pixels in the topobathy DEMs so that the point density layer shows the density of ground/submerged topography points located in each cell that were used to determine elevations for each cell in the topobathy DEMs. Higher density lends itself to higher confidence. The point density layer can be displayed by unique values or classified into desired bins/ranges for analysis over larger areas. A confidence layer has been created and provided to NOAA as part of the deliverables.

The confidence layer is a raster product in IMG format with 1 meter square pixels. The confidence layer provides a standard deviation value for every pixel by calculating the standard deviation of all ground and/or submerged topography LiDAR points that are located within a single pixel. The confidence layer pixels align to the pixels in the topobathy DEMs. The confidence layer can be displayed by unique values or classified into desired bins/ranges for analysis over larger areas.

5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:

5.2. Quality control procedures employed (describe or provide URL of description):

6. Data Documentation

The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.

6.1. Does metadata comply with EDMC Data Documentation directive?

No

6.1.1. If metadata are non-existent or non-compliant, please explain:

Missing/invalid information:

- 1.7. Data collection method(s)
- 3.1. Responsible Party for Data Management
- 4.1. Have resources for management of these data been identified?
- 4.2. Approximate percentage of the budget for these data devoted to data management

- 5.2. Quality control procedures employed
- 7.1. Do these data comply with the Data Access directive?
 - 7.1.1. If data are not available or has limitations, has a Waiver been filed?
 - 7.1.2. If there are limitations to data access, describe how data are protected
- 7.4. Approximate delay between data collection and dissemination
- 8.1. Actual or planned long-term data archive location
- 8.3. Approximate delay between data collection and submission to an archive facility
- 8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

6.2. Name of organization or facility providing metadata hosting:

NMFS Office of Science and Technology

6.2.1. If service is needed for metadata hosting, please indicate:**6.3. URL of metadata folder or data catalog, if known:**

<https://www.fisheries.noaa.gov/inport/item/48366>

6.4. Process for producing and maintaining metadata

(describe or provide URL of description):

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf

7. Data Access

NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.

7.1. Do these data comply with the Data Access directive?

7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?

7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:

7.2. Name of organization of facility providing data access:

NOAA Office for Coastal Management (NOAA/OCM)

7.2.1. If data hosting service is needed, please indicate:**7.2.2. URL of data access service, if known:**

<https://coast.noaa.gov/dataviewer/#/lidar/search/where:ID=4977>

https://coast.noaa.gov/htdata/raster2/elevation/RhodeIsland_post_Sandy_DEM_2014_4977

7.3. Data access methods or services offered:

This data can be obtained on-line at the following URL: <https://coast.noaa.gov/dataviewer>;

7.4. Approximate delay between data collection and dissemination:**7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:****8. Data Preservation and Protection**

The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.

8.1. Actual or planned long-term data archive location:

(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)

8.1.1. If World Data Center or Other, specify:**8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:****8.2. Data storage facility prior to being sent to an archive facility (if any):**

Office for Coastal Management - Charleston, SC

8.3. Approximate delay between data collection and submission to an archive facility:**8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?**

Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection

9. Additional Line Office or Staff Office Questions

Line and Staff Offices may extend this template by inserting additional questions in this section.